# **Neutrino-Astromony**

Lecture by Thomas Eberl Scriptum by Philipp Weber

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## **1** Neutrino oscillations

## 1.1 Neutrino flavor states

"Neutrino with a given flavor" identifies the neutral particle associated by weak interactions to the charged lepton with a given flavor, i.e. electron, muon or tau. EG  $\nu_e$  in  $e^- + {}^{37}Ar \rightarrow \nu_e + {}^{32}Cl$ .

From observation: Flavor is conserved in the interaction point. Similar def. holds true for antineutrinos. Assumption (consistent with all known facts): Weak interactions are describe by a realtivistic qft. High energy: Standard electroweak model: (based  $SU(3) \times SU(2) \times U(1)$ ). Assumption: Neutrino masses play a negligible role in interactions, i.e. ultra-relativistic limit  $E_{\nu} \gg m_{\nu}$  holds.

## 1.2 Neutrino mixing

Assumption: Quantized neutrino fields with given flavor  $\nu_{\alpha}$  od not coincide with quantized field that have a given, defined mass, but rather coincide with linear combinations of fields  $\nu_i$  that have mass  $m_i$ .

$$\nu_{\alpha} = \sum_{i=1}^{N} U_{\alpha i} \nu_{i} \text{ with } \alpha = e, \mu, \tau ; \ i = 1, 2, 3$$
(1.1)

- $\nu_{\alpha}$  do not have, in general, a definite mass.
- $U_{\alpha i}$  elements of a "leptonic mixing matrix"
- $U_{\alpha i}$  elements of a unitary 3x3 matrix (assumption!)

#### **Consistent with:**

- 1. Measured with  $Z^0$  bosons
- 2. Neutrinos with given flavor have the same interactions they are universal
- 3. Cosmological observations

Currently not observed:

- 1. More than 3 neutrinos, would violate unitarity
- 2. non-universal behaviour  $\rightarrow$  no additional interactions

## 1.3 Neutrino transformations

Oscillating transformations  $\nu_{\alpha} \rightarrow \nu_{\beta}(\alpha, \beta = e, \mu, \tau)$  in which one neutrino type transforms into another one with a different lepton flavor number:  $L_{\alpha} \neq L_{\beta}$ .

#### **Necessary conditions:**

- 1. Not all neutrinos have identical mass in particular not all neutrinos are massless
- 2. Lepton flavor numbers are not strictly conserved

**Caveat:** In SM neutrinos are assumed to be massless and  $L_{\alpha}$  are conserved.

## 1.4 Neutrino oscillation phenomenology

Consider: *n* orthonormal eigenstates  $|\nu_{\alpha}\rangle$ :

$$\begin{aligned} \langle \nu_{\alpha} | \nu_{\beta} \rangle &= \delta_{\alpha,\beta} \\ \langle \nu_i | \nu_j \rangle &= \delta_{ij} \end{aligned}$$

$$|
u_{lpha}
angle = \sum_{i} U_{lpha i} |
u_{i}
angle$$

$$|\nu_i\rangle = \sum_{\alpha} \left(U^{\dagger}\right)_{i\alpha} |\nu_{\alpha}\rangle$$
$$= \sum_{\alpha} U^*_{\alpha i} |\nu_{\alpha}\rangle$$

With unitarity:

$$U^{\dagger}U = 1$$
$$U^{-1} = U^{\dagger} = (U^{*})^{\mathrm{T}}$$
i.e. 
$$\sum_{i} U_{\alpha i} U_{\beta i}^{*} = \delta_{\alpha \beta}$$
$$\sum_{\alpha} U_{\alpha i} U_{\alpha j}^{*} = \delta_{i j}$$
$$|\overline{\nu_{\alpha}}\rangle = \sum_{i} U_{\alpha i}^{*} |\overline{\nu_{i}}\rangle : \text{ antineutrinos}$$

 $\rightarrow$  Different from  $|\nu_{\alpha}\rangle$  if  $U_i$  is real

 $\rightarrow$  State defined in the ultrarelativistic limit are well-defined (  $\lambda=-1$  for antineutrino) coinciding with chirality.

- Lowest-energy neutrinos detected today  $\sim 200 \,\mathrm{keV}$
- Conservative boundaries on neutrino mass:  $\ll 2 \,\mathrm{eV}$  $\rightarrow$  Deviation from ultrarelativistic limit  $\epsilon < 10^{-5}$

#### Breakdown?

- Near the endpoint of the  $\beta$ -spectrum: To conserve energy only  $\nu_1$  can be emitted with  $e^-$
- Neutrinos produced in Big Bang now have momenta  $p \sim kT \simeq 0.2 \text{ meV}$ From  $\sqrt{|\Delta m_{13}^2|} \simeq 50 \text{ meV}$  and  $\sqrt{\Delta m_{12}^2} = 8.6 \text{ meV}$

## 1.5 Mixing Matrix

- Number of parameters of a unitary  $n \times n$  matrix:  $n^2$
- 2n neutrino states
  - $\rightarrow 2n-1$  relative phases between states:
  - $\rightarrow (n-1)^2$  independent parameters to describe phases

Example 1.1. 6 neutrinos, 5 relative phases, 4 parameter to fix 5 phases

## **Convention:**

- $\frac{1}{2}n(n-1)$  "weak mixing angles" of an *n*-dimensional rotation matrix
- $\frac{1}{2}(n-1)(n-2)$  "CP-violating phases"

*Remark.* If neutrinos are majorana particles (i.e. their own antiparticles) 2 additional phases  $\alpha_1$  and  $\alpha_2$  occur. These cannot be observed in oscillation phenomena.

## 1.6 Mass eigenstates

Flavor eigenstates have no sharp, well-defined mass in general, i.e.

$$\langle \nu_{\alpha} | M | \nu_{\beta} \rangle \neq 0$$

But

$$\langle \nu_i | M | \nu_j \rangle = m_i \delta_{ij}$$
 and  $m_i - m_j \neq 0 \ \forall i, j : i \neq j$ 

 $|\nu_i\rangle$  is a stationary state with time dependency:

$$|\nu_i(x,t)\rangle = \left|\nu_i(0,0)e^{-ipx}\right\rangle$$
$$= \left|\nu_i(x,t)\right\rangle = \left|\nu_i(0,0)e^{-i(E\cdot t - \vec{px})}\right\rangle$$

with  $E = \hbar \omega$  and  $\vec{p} = \hbar \vec{k} = \hbar \frac{2\pi}{\lambda} \vec{e_k}$ . Probability amplitudes propagate wave-like in space and time. Particle:

$$t = 0; x = 0: \nu_1(0, 0)$$

at 
$$x = L : |\nu_1(L, t)\rangle = \left|\nu_1(0, 0)e^{-i(E_1 \cdot t - p_1 L)}\right\rangle$$

Consider: 2 particles with mass  $m_1$  and  $m_2$  and the same energy E start to propagate in phase at x = 0 and t = 0 into the same direction. Phases at x = L:

$$\begin{aligned} |\nu_1(L,t)\rangle &= \left|\nu_1(0,0)e^{-i(E\cdot t - p_1L)}\right\rangle = \left|\nu_1(0,0)e^{-i\phi_1}\right\rangle \\ \nu_2(L,t) &= \left|\nu_2(0,0)e^{-i(E\cdot t - p_2L)}\right\rangle \\ &= \left|\nu_2(0,0)e^{-i(Ecdott - p_1L(p_1 - p_2)L)}\right\rangle \\ &= \left|\nu_2(0,0)e^{-i\phi_1}e^{-i(p_1 - p_2)L}\right\rangle \end{aligned}$$

For  $E \gg m$ :

$$p_1 - p_2 = \sqrt{E^2 - m_1^2} - \sqrt{E^2 - m_2^2}$$
$$\simeq \left(E - \frac{m_1^2}{2E}\right) - \left(E - \frac{m_2^2}{2E}\right) = \frac{m_2^2 m_1^2}{2E} = \frac{\Delta m^2}{2E}$$
$$|\nu_2(L, t)\rangle = \left|\nu_2(0, 0)e^{-ip_1}e^{i\frac{L\Delta m^2}{2E}}\right\rangle$$
$$\Delta = \frac{L}{2E}\Delta m^2$$

Time evolution of an eigenstate

$$\left|\nu_{i}(t)\right\rangle = e^{-iE_{i}t}\left|\nu_{i}\right\rangle$$

(Assumptions:  $E_i \in \mathbb{R}$  and suppressing x-dependency)

$$E_i = \sqrt{p^2 + m_i^2} \simeq p + \frac{m_i^2}{2p} \simeq E + \frac{m_i^2}{2E}$$

for  $p \gg m$  with  $E \simeq p$  the neutrino energy. Pure flavor state  $\nu_{\alpha}$  at t = 0 evolves into  $\nu(t)$ :

$$|\nu(t)\rangle = \sum_{i=1}^{3} U_{\alpha i} |\nu_i\rangle e^{iE_i t} = \sum_{i,\beta} U_{\alpha i} U^*_{\beta i} e^{-iE_i t} \vec{\nu\beta}$$

Time-dependent transition amplitude for flavor transition  $\nu_{\alpha} \rightarrow \nu_{\beta}$ :

$$A\left(\alpha \to \beta; t\right) = \left\langle \nu_{\beta} \middle|_{\nu_{\alpha}(0 \to t)} \right\rangle = \sum_{i} U_{\alpha i} U_{\beta i}^{*} e^{-iE_{i}t} = \left(UDU^{\dagger}\right)_{\alpha\beta}$$

with  $D_{ij} = \delta_{ij} e^{-iE_i t}$  a diagonal matrix.

$$A(\alpha \to \beta; t) = \sum_{i} U_{\alpha i} U_{\beta i}^* e^{-i\frac{m_i^2}{2} \cdot \frac{L}{E}} = A(\alpha \to \beta; L) \text{ with } L = c \cdot t$$

the distance between  $\nu_{\alpha}$  source and detector where  $\nu_{\beta}$  is observed.

*Remark.* Phase-factor  $e^{-iE \cdot t}$  cancels with  $e^{ipx}$  because of  $E \simeq p$  and x = t as neutrino propagate with close to the speed of light.

$$A(\overline{\alpha} \to \overline{\beta}, t) = \sum_{i} U_{\alpha i}^* U_{\beta i} e^{-iE_i t}$$